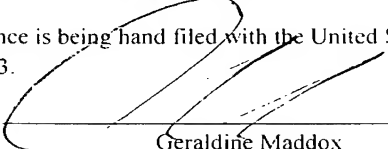


CERTIFICATE OF HAND DELIVERY

I hereby certify that this correspondence is being hand filed with the United States Patent and Trademark Office in Washington, D.C. on August 28, 2003.


Geraldine Maddox

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

Masanori WATANABE

Serial No.: New Application

Filing Date: August 28, 2003

For: SEMICONDUCTOR LASER DEVICE AND
METHOD FOR PRODUCING THE SAME

Examiner: Not Yet Assigned

Group Art Unit: Not Yet Assigned

**INFORMATION DISCLOSURE
STATEMENT UNDER 37 C.F.R. § 1.97**

Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Sir:

Pursuant to 37 C.F.R. § 1.97 and § 1.98, Applicant submits for consideration in the above-identified application the documents listed on the attached Form PTO-1449. Copies of the documents are also submitted herewith. The Examiner is requested to make these documents of record.

This Information Disclosure Statement is submitted:

☒ With the application; accordingly, no fee or separate requirements are required.


Applicant would appreciate the Examiner initialing and returning the Form PTO-1449, indicating that the information has been considered and made of record herein.

The information contained in this Information Disclosure Statement under 37 C.F.R. § 1.97 is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

In the event that the transmittal letter is separated from this document and the Patent Office determines that an extension and/or other relief is required, applicant petitions for any required relief including extensions of time and authorizes the Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to **Deposit Account No. 03-1952** referencing **204552029600**.

Dated: August 28, 2003

Respectfully submitted,

By: 
Barry E. Bretschneider
Registration No. 28,055

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Form PTO-1449 INFORMATION DISCLOSURE CITATION IN AN APPLICATION <i>(Use several sheets if necessary)</i>	Docket Number: 204552029600	Application Number: New Application
	Applicants <i>Masanori WATANABE</i>	
	Filing Date: August 28, 2003	Group Art Unit: Not Yet Assigned
	Mailing Date: August 28, 2003	

U.S. PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Name	Class	Subclass	Filing Date If Appropriate
	1.	March 6, 2000	2003/0042492	WATANABE			

FOREIGN PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Country	Class	Subclass	Translation YES	NO
	2.	July 1, 1991	3-153090	Japan			Abstract	
	3.	January 21, 1997	9-023037	Japan			Abstract	
	4.	July 28, 2000	2000-208872	Japan			Abstract	
	5.	November 11, 1997	9-293928	Japan			Abstract	
	6.	March 29, 2002	2002-094179	Japan			Abstract	
	7.	March 14, 2003	2003-078204	Japan			Abstract	

OTHER DOCUMENTS

(including author, title, Date, Pertinent Pages, Etc.)

Examiner Initials	Ref. No.	Title
	3.	

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not the citation conforms with MPEP 609. Draw a line through the citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.